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5 Attorney for Plaintiff

7 UNITED STATES DISTRICT COURT
8 NORTHERN DISTRICT OF CALIFORNIA
9 SAN FRANCISCO DIVISION
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11 SECURITIES AND EXCHANGE
12 COMMISSION,
13
14 Plaintiff,

15 vs.

16 KENT H. ROBERTS,
17 Defendant

Case No. C 07-04580 MHP


STIPULATION OF DISMISSAL

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19 Plaintiff Securities and Exchange Commission ("Commission") and Defendant Kent H.
20 Roberts ("Roberts"), through their respective counsel, hereby stipulate to dismissal with
21 prejudice of all claims that the Commission has asserted against Roberts in the above-captioned
22 matter. The Commission and Roberts further stipulate that each party shall bear its or his own
23 costs. Roberts hereby waives any rights under the Equal Access to Justice Act, the Small
24 Business Regulatory Enforcement Fairness Act of 1996, or any other provision of law to seek
25 from the United States, or any agency, or any official of the United States acting in his or her
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1 official capacity, directly or indirectly, reimbursement of attorney's fees or other fees, expenses,
2 or costs expended by the Defendant to defend against this action.

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6 Respectfully submitted,

7 Dated: March 19, 2009



8 STEPHEN L. COHEN (cohens@sec.gov)
9 Counsel for Plaintiff Securities and Exchange
10 Commission

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12 Respectfully submitted,

13 Dated: March 5, 2009



14 WILLIAM S. FREEMAN
15 (freemanws@cooley.com)
16 Counsel for Defendant Kent H. Roberts
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UNITED STATES DISTRICT COURT
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SECURITIES AND EXCHANGE
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Plaintiff,

vs.

KENT H. ROBERTS,

Defendant

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DISMISSAL WITH PREJUDICE

ORDER

The Court, having received the stipulation of the parties, and finding good cause, hereby
DISMISSES this action as to Defendant Kent H. Roberts WITH PREJUDICE.

IT IS SO ORDERED.

Dated: 3/20/2009

